

## *Elementary Graph Algorithms*

### PART-3

1

## Outlines: Graphs Part-3

- Depth-First Search:
  - DFS Algorithm
  - DFS Example
  - DFS Running Time
  - DFS Predecessor Subgraph
  - DFS Time Stamping
  - DFS Parenthesis Theorem
  - DFS Edge Classification
  - DFS and Graph Cycles

2

## Depth-First Search

- *Depth-first search* is another strategy for exploring a graph
  - Explore “deeper” in the graph whenever possible
  - Edges are explored out of the most recently discovered vertex  $v$  that still has unexplored edges
  - When all of  $v$ 's edges have been explored, **backtrack** to the vertex from which  $v$  was discovered

3

## Depth-First Search

- Initialize
  - color all vertices white
- Visit each and every white vertex using DFS-Visit
- Each call to **DFS-Visit( $u$ )** roots a new tree of the *depth-first forest* at vertex  $u$
- A vertex is **white** if it is undiscovered
- A vertex is **gray** if it has been discovered but not all of its edges have been discovered
- A vertex is **black** after all of its adjacent vertices have been discovered (the adj. list was examined completely)

4

## Depth-First Search

```

DFS(G)
1 for each vertex  $u \in V[G]$ 
2   do color[u] ← WHITE
3 time ← 0
4 for each vertex  $u \in V[G]$ 
5   do if color[u] = WHITE
6     then DFS-VISIT(u)
  
```

Init all vertices

```

DFS-VISIT(u)
1 color[u] ← GRAY      ▷ White vertex  $u$  discovered.
2  $d[u] \leftarrow \text{time}$   ▷ Mark with discovery time.
3  $\text{time} \leftarrow \text{time} + 1$   ▷ Tick global time.
4 for each  $v \in \text{Adj}(u)$ 
5   do if color[v] = WHITE
6     then DFS-VISIT(v)
7 color[u] ← BLACK     ▷ Blacken  $u$ ; it is finished.
8  $f[u] \leftarrow \text{time}$     ▷ Mark with finishing time.
9  $\text{time} \leftarrow \text{time} + 1$   ▷ Tick global time.
  
```

Visit all children recursively

5

## Depth-First Search: Algorithm

```

DFS(G)
{
  for each vertex  $u \in V[G]$ 
  {
    color[u] = WHITE;
    p[u] = NIL;
  }
  time = 0;
  for each vertex  $u \in V[G]$ 
  {
    if (color[u] == WHITE)
      DFS_Visit(u);
  }
}

DFS_Visit(u)
{
  color[u] = GRAY;
  time = time+1;
  d[u] = time;
  for each  $v \in \text{Adj}(u)$ 
  {
    if (color[v] == WHITE)
      p[v] = u;
      DFS_Visit(v);
  }
  color[u] = BLACK;
  time = time+1;
  f[u] = time;
}
  
```

6

## Depth-First Search: Algorithm

```

DFS(G)
{
  for each vertex u ∈ V[G]
  {
    color[u] = WHITE;
    p[u] = NIL;
  }
  time = 0;
  for each vertex u ∈ V[G]
  {
    if (color[u] == WHITE)
      DFS_Visit(u);
  }
}

DFS_Visit(u)
{
  color[u] = GRAY;
  time = time+1;
  d[u] = time;
  for each v ∈ Adj[u]
  {
    if (color[v] == WHITE)
      DFS_Visit(v);
  }
  color[u] = BLACK;
  time = time+1;
  f[u] = time;
}

```

*What does d[u] represent?*

7

## Depth-First Search: Algorithm

```

DFS(G)
{
  for each vertex u ∈ V[G]
  {
    color[u] = WHITE;
    p[u] = NIL;
  }
  time = 0;
  for each vertex u ∈ V[G]
  {
    if (color[u] == WHITE)
      DFS_Visit(u);
  }
}

DFS_Visit(u)
{
  color[u] = GRAY;
  time = time+1;
  d[u] = time;
  for each v ∈ Adj[u]
  {
    if (color[v] == WHITE)
      DFS_Visit(v);
  }
  color[u] = BLACK;
  time = time+1;
  f[u] = time;
}

```

*What does f[u] represent?*

8

## Depth-First Search: Algorithm

```

DFS(G)
{
  1 for each vertex u ∈ V[G]
  {
    2 color[u] = WHITE;
    3 p[u] = NIL;
  }
  4 time = 0;
  for each vertex u ∈ V[G]
  {
    5 if (color[u] == WHITE)
    6 DFS_Visit(u);
  }
}

DFS_Visit(u)
{
  1 color[u] = GRAY;
  2 time = time+1;
  3 d[u] = time;
  4 for each v ∈ Adj[u]
  {
    5 if (color[v] == WHITE)
    6 p[v] = u;
    7 DFS_Visit(v);
  }
  8 color[u] = BLACK;
  9 time = time+1;
  10 f[u] = time;
}

```

*Will all vertices eventually be colored black?*

9

## Depth-First Search: DFS Procedure

- Lines 1-3 paint all vertices white and initialize their p fields to NIL.
- Line 4 resets the global time counter.
- Lines 5-7 check each vertex in V in turn and, when a white vertex is found, visit it using DFS\_Visit.
  - Every time DFS\_Visit(u) is called in line 7, vertex u becomes the *root of a new tree* in the depth-first forest.
- When DFS returns, every vertex u has been assigned a *discovery time* d[u] and a *finishing time* f[u].

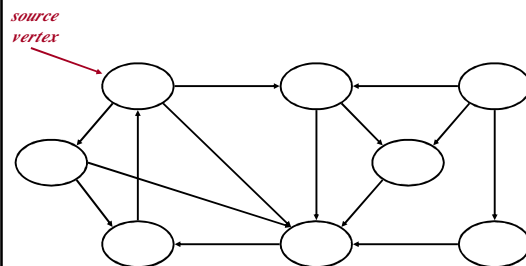
10

## Depth-First Search: DFS-Visit Procedure

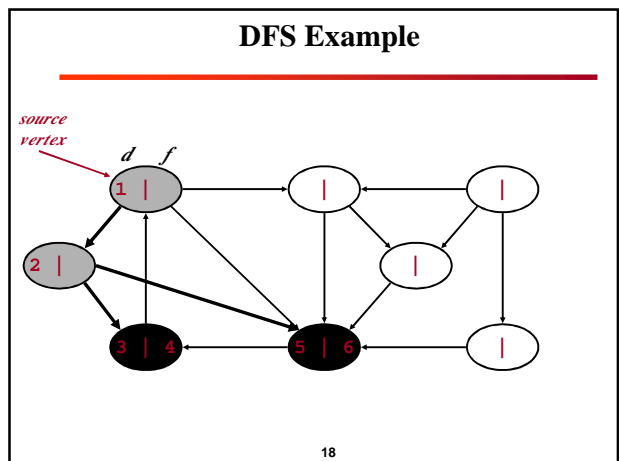
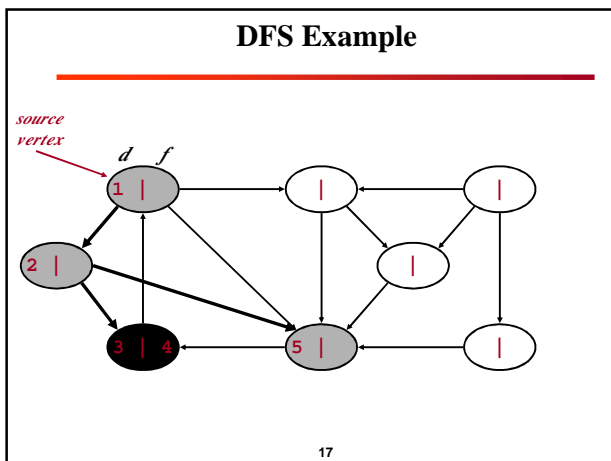
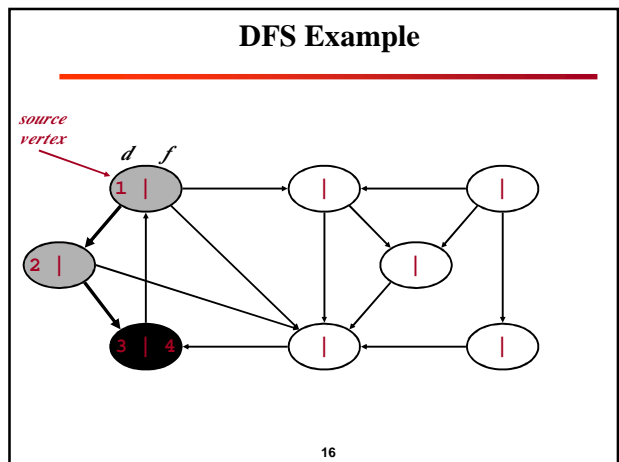
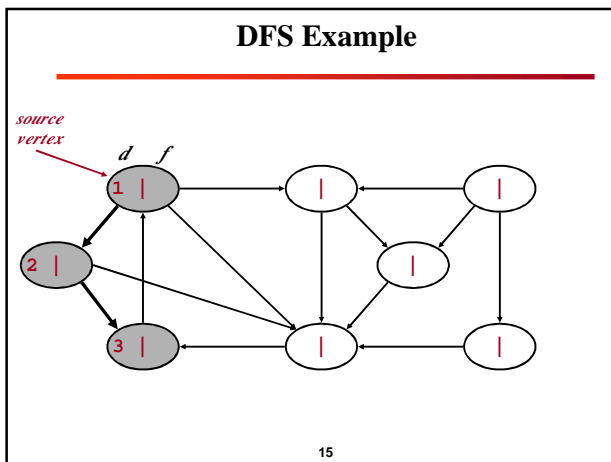
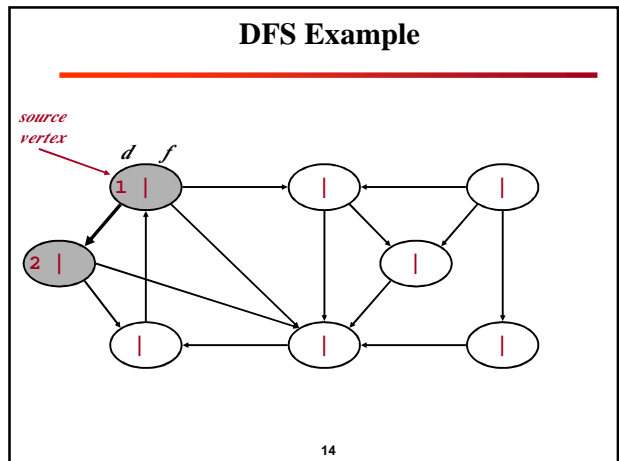
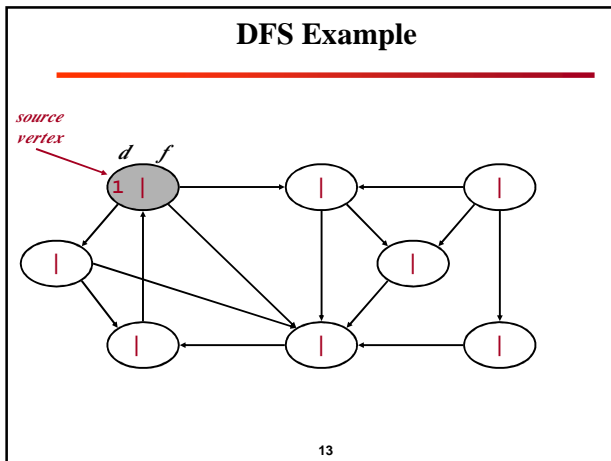
- In each call DFS\_Visit(u), vertex u is initially white.
- Line 1 paints u gray.
- Line 2 increments the global variable time.
- Line 3 records the new value of time as the discovery time d[u].
- Lines 4-7 examine each vertex v adjacent to u and recursively visit v if it is white.
  - As each vertex v ∈ Adj[u] is considered in line 4, we say that edge (u, v) is *explored* by the depth-first search.
- Finally, after every edge leaving u has been explored, lines 8-10 paint u black and record the finishing time in f[u].

11

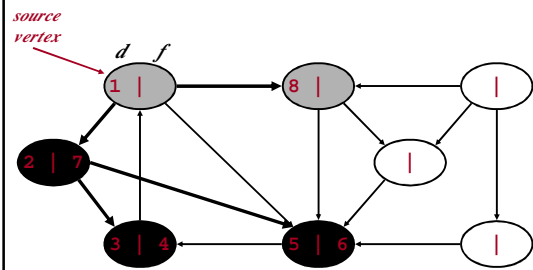
## DFS Example



12

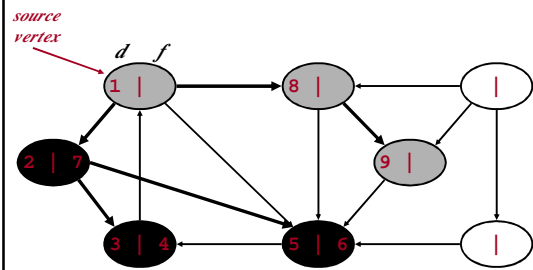


### DFS Example



19

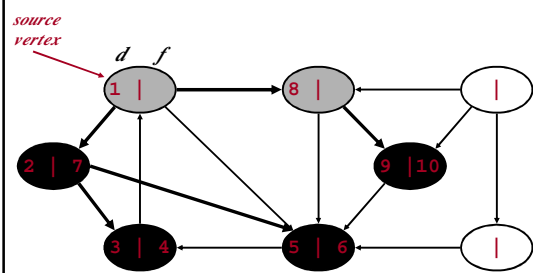
### DFS Example



*What is the structure of the gray vertices?  
What do they represent?*

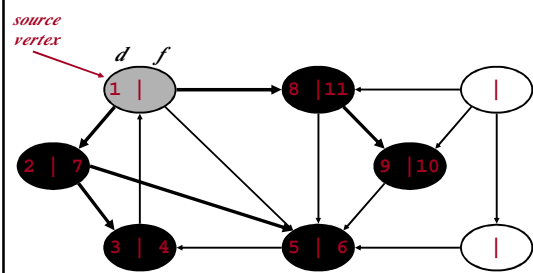
20

### DFS Example



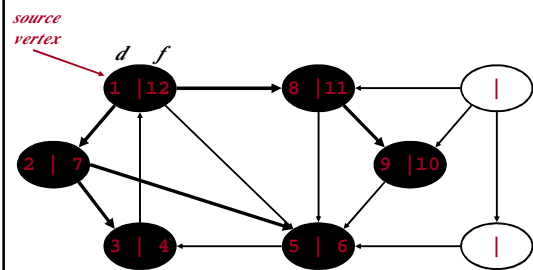
21

### DFS Example



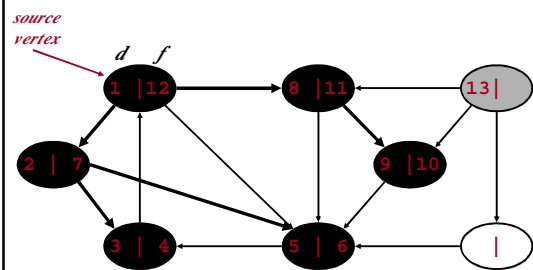
22

### DFS Example



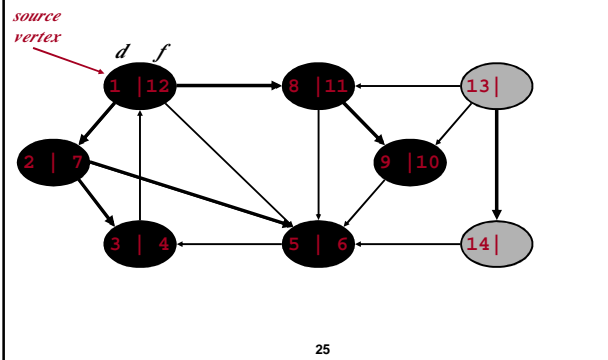
23

### DFS Example



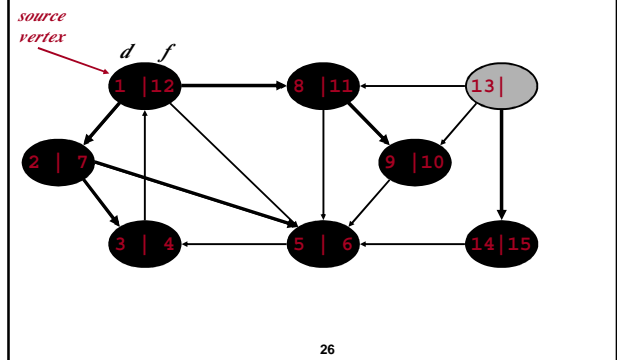
24

### DFS Example



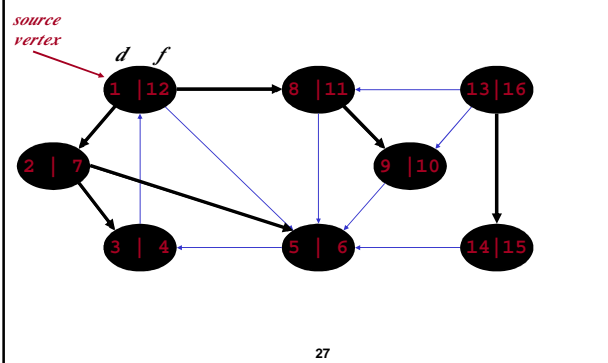
25

### DFS Example



26

### DFS Example



27

### Depth-First Search: Algorithm

```

DFS(G)
{
  for each vertex u ∈ V[G]
  {
    color[u] = WHITE;
    p[u] = NIL
  }
  time = 0;
  for each vertex u ∈ V[G]
  {
    if (color[u] == WHITE)
      DFS_Visit(u);
  }
}

DFS_Visit(u)
{
  color[u] = GRAY;
  time = time+1;
  d[u] = time;
  for each v ∈ Adj[u]
  {
    if (color[v] == WHITE)
      p[v] = u;
      DFS_Visit(v);
  }
  color[u] = BLACK;
  time = time+1;
  f[u] = time;
}
    
```

*What will be the running time?*

28

### Depth-First Search: Algorithm

```

DFS(G)
{
  for each vertex u ∈ V[G]
  {
    color[u] = WHITE;
    p[u] = NIL
  }
  time = 0;
  for each vertex u ∈ V[G]
  {
    if (color[u] == WHITE)
      DFS_Visit(u);
  }
}

DFS_Visit(u)
{
  color[u] = GRAY;
  time = time+1;
  d[u] = time;
  for each v ∈ Adj[u]
  {
    if (color[v] == WHITE)
      p[v] = u;
      DFS_Visit(v);
  }
  color[u] = BLACK;
  time = time+1;
  f[u] = time;
}
    
```

How many times will DFS\_Visit() actually be called?  $\Theta(V)$   
 How many times will *if* statement be executed in all  $\Theta(V)$  DFS\_Visit calls?  
 $\Theta(E)$

29

### Depth-First Search: Algorithm

```

DFS(G)
{
  for each vertex u ∈ V[G]
  {
    color[u] = WHITE;
    p[u] = NIL
  }
  time = 0;
  for each vertex u ∈ V[G]
  {
    if (color[u] == WHITE)
      DFS_Visit(u);
  }
}

DFS_Visit(u)
{
  color[u] = GRAY;
  time = time+1;
  d[u] = time;
  for each v ∈ Adj[u]
  {
    if (color[v] == WHITE)
      p[v] = u;
      DFS_Visit(v);
  }
  color[u] = BLACK;
  time = time+1;
  f[u] = time;
}
    
```

*So, running time of DFS =  $\Theta(V+E)$*

30

## DFS: Running Time

- Running time
  - the loops in **DFS** take time  $\Theta(V)$  each, *excluding* the time to execute **DFS-Visit**
  - DFS-Visit** is called once for every vertex
    - its only invoked on white vertices, and
    - paints the vertex gray immediately
  - for each **DFS-Visit**( $v$ ) a loop iterates over all  $\text{Adj}[v]$
  - the *total cost* for **DFS-Visit** is  $\Theta(E)$

$$\sum_{v \in V} |\text{Adj}[v]| = \Theta(E)$$

- the running time of **DFS** is  $\Theta(V+E)$

31

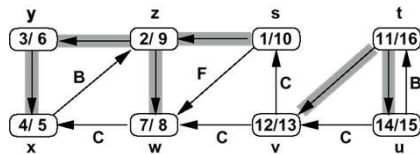
## Predecessor Subgraph

- Define slightly different from **BFS**
- Defined the *predecessor subgraph* of  $G$  as  $G_p = (V, E_p)$ , where
  - $E_p = \{(p[v], v) \in E : v \in V \text{ and } p[v] \neq \text{NIL}\}$
- The PD subgraph of a depth-first search forms a **depth-first forest** composed of several depth-first trees
- The edges in  $G_p$  are called *tree edges*

32

## DFS Timestamping

- The **DFS** algorithm maintains a monotonically increasing global clock
  - discovery time  $d[u]$  and finishing time  $f[u]$
- For every vertex  $u$ , the inequality  $d[u] < f[u]$  must hold



33

## DFS Timestamping

- Vertex  $u$  is
  - white before time  $d[u]$
  - gray between time  $d[u]$  and time  $f[u]$ , and
  - black thereafter
- Notice the structure throughout the algorithm.
  - gray vertices form a *linear chain*
  - corresponds to a *stack* of vertices that have not been exhaustively explored (DFS-Visit started but not yet finished)
  - What is the *size* of the *stack* in DFS?

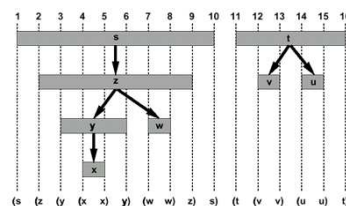
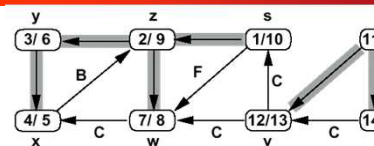
34

## DFS Parenthesis Theorem

- Discovery and finish times have parenthesis structure
  - represent *discovery* of  $u$  with left parenthesis "("
  - represent *finishing* of  $u$  with right parenthesis ")"
- history of discoveries and finishings makes a well-formed expression (parenthesis are properly nested)

35

## DFS Parenthesis Theorem



36

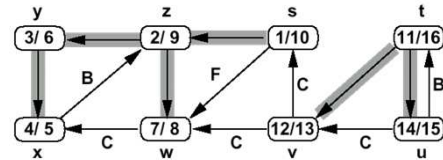
### DFS Parenthesis Theorem

- For any two distinct vertices  $u$  and  $v$ , exactly one of the following **three conditions** holds:
  - The intervals  $[d[u], f[u]]$  and  $[d[v], f[v]]$  are *disjoint*
    - neither  $u$  nor  $v$  is a **descendant** (child) of the other in the depth-first forest
  - The interval  $[d[u], f[u]]$  is contained entirely within the interval  $[d[v], f[v]]$ 
    - $d[v] < d[u] < f[u] < f[v]$
    - $u$  is a **descendant** of  $v$  in the depth-first forest
  - The interval  $[d[v], f[v]]$  is contained entirely within the interval  $[d[u], f[u]]$ 
    - $d[u] < d[v] < f[v] < f[u]$
    - $v$  is a **descendant** of  $u$  in the depth-first forest

37

### DFS Edge Classification

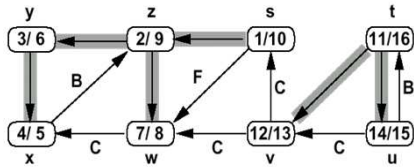
- **Tree edge** (gray to white)
  - encounter new vertices (white)
  - included in **depth-first forest**  $G_p$
- **Back edge** (gray to gray)
  - from *descendant* to *ancestor*
  - non-tree edges in **depth-first tree**



38

### DFS Edge Classification

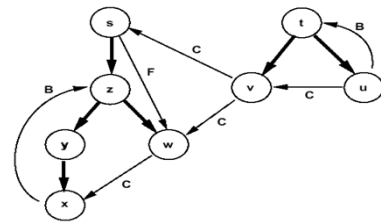
- **Forward edge** (gray to black): if  $d[u] < d[v]$ 
  - from *ancestor* to *descendant*
  - non-tree edges in **depth-first tree**
- **Cross edge** (gray to black): if  $d[u] > d[v]$ 
  - are all other edges
  - between trees or subtrees



39

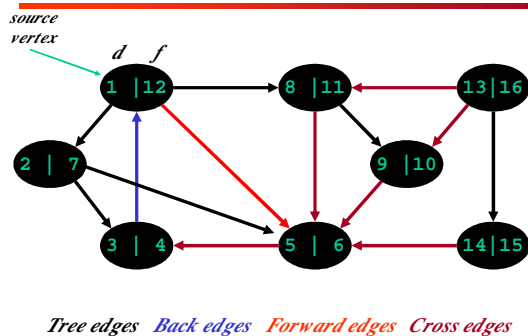
### DFS Edge Classification

- **Tree** and **back** edges are important
- Most algorithms do not distinguish between **forward** and **cross** edges



40

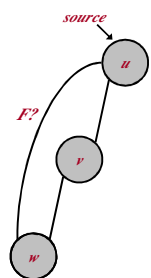
### DFS Example



41

### DFS: Kinds Of Edges

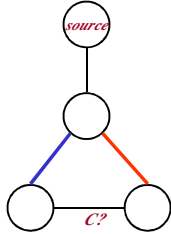
- **Theorem 22.10:**
  - If  $G$  is **undirected**, a DFS produces only **tree** and **back** edges
- Proof by contradiction:
  - Assume there's a **forward** edge
    - But  $F?$  edge must actually be a **back** edge (*why?*)
    - Since edge  $(w, u)$  is from *descendant* to *ancestor* (gray to gray)



42

## DFS: Kinds Of Edges

- **Theorem 22.10:**
  - If  $G$  is **undirected**, a DFS produces only **tree** and **back** edges
- Proof by contradiction:
  - Assume there's a **cross** edge
    - But **C?** edge **cannot** be cross:
      - must be explored from one of the vertices it connects, becoming a **tree** vertex, before other vertex is explored
    - So in fact the picture is wrong...**both blue** and **red** edges **cannot** in fact be **tree** edges.
    - One should be **tree** edge and
    - the other should be **back** edge (cycle graph)



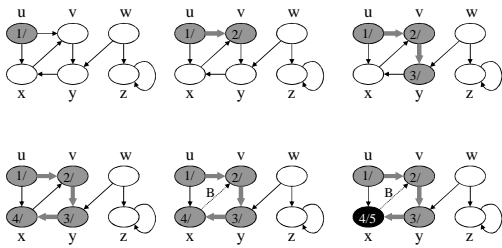
43

## DFS and Graph Cycles

- Theorem:
  - An **undirected** graph is **acyclic** (no cycles) iff a DFS yields **no back** edges
    - If acyclic, no back edges (because a back edge implies a cycle)
    - If no back edges, acyclic
      - No back edges implies only tree edges (*Why?*)
      - Only **tree edges** implies we have a **tree** or a **forest**
      - Which by definition is **acyclic**
  - Thus, can run DFS to find whether a graph has a cycle. (*How*)

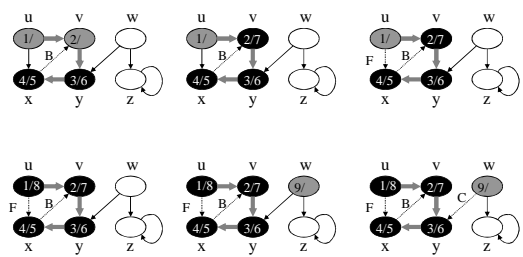
44

## DFS Example



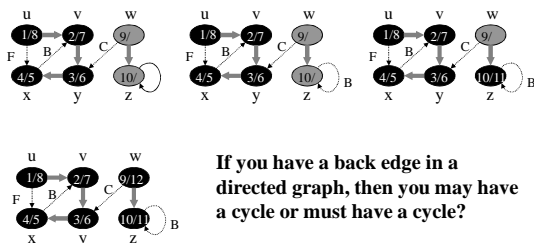
45

## DFS Example



46

## DFS Example



If you have a back edge in a directed graph, then you may have a cycle or must have a cycle?

47